

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

|                        |                  |
|------------------------|------------------|
| Application Number     | 10780415         |
| Filing Date            | 2004-02-17       |
| First Named Inventor   | SUN, Chong-Qing  |
| Art Unit               | 1626             |
| Examiner Name          | SHIAO, Rei Tsang |
| Attorney Docket Number | 1073.128C        |

| U.S.PATENTS   |         |                    |                        |                  |   | Remove   |
|---|---------|--------------------|------------------------|------------------|---|--|
| Examiner Initial*   | Cite No | Patent Number      | Kind Code <sup>1</sup> | Issue Date       | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |
|   | 1       | 5556909            |                        | 2006-09-17       | DESAI et al.                                    |  |
|   | 2       | 5811374            |                        | 1998-09-22       | HEINZ-JURGEN BERTRAM, Holzminden et al.         |  |
|   | 3       | 6531612            |                        | 2003-03-11       | GABRIEL, Tobias et al.                          |  |
|   | 4       | 7256208            |                        | 2007-08-14       | YINGZHI et al.                                  |  |
|   | 5       | 6992102            |                        | 2006-01-31       | HAMANN et al.                                   |  |
|   | 6       | 6670386            |                        | 2003-12-30       | SUN et al.                                      |  |
| If you wish to add additional U.S. Patent citation information please click the Add button. |         |                    |                        |                  |   | Add  |
| U.S.PATENT APPLICATION PUBLICATIONS   |         |                    |                        |                  |   | Remove   |
| Examiner Initial*   | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

|                        |                  |            |
|------------------------|------------------|------------|
| Application Number     |                  | 10780415   |
| Filing Date            |                  | 2004-02-17 |
| First Named Inventor   | SUN, Chong-Qing  |            |
| Art Unit               | 1626             |            |
| Examiner Name          | SHIAO, Rei Tsang |            |
| Attorney Docket Number | 1073.128C        |            |

|   |             |  |            |                  |  |
|---|-------------|--|------------|------------------|--|
| 1 | 20020133004 |  | 2002-09-19 | TAKAAI, Sekiyama |  |
| 2 | 20050059652 |  | 2005-03-17 | HAMANN et al.    |  |
| 3 | 20050182105 |  | 2005-08-18 | NIRSCHL et al.   |  |
| 4 | 20050187267 |  | 2005-08-25 | HAMANN et al.    |  |
| 5 | 20050197367 |  | 2005-09-08 | LI et al.        |  |
| 6 | 20050197359 |  | 2005-09-08 | NIRSCHL et al.   |  |
| 7 | 20070004717 |  | 2007-01-04 | LI et al.        |  |

If you wish to add additional U.S. Published Application citation information please click the Add button. [Add](#)

**FOREIGN PATENT DOCUMENTS**

[Remove](#)

| Examiner Initial* | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> i | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>           |
|-------------------|---------|--------------------------------------|-----------------------------|------------------------|------------------|---|--|--------------------------|
|                   | 1       | DE2126187                            | DE                          |                        | 1971-05-26       | KUWABARA et al.                                 |  | <input type="checkbox"/> |
|                   | 2       | EP1125925                            | EP                          |                        | 2001-08-22       | Applied Research Systems ARS                    |  | <input type="checkbox"/> |

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

|                        |                  |
|------------------------|------------------|
| Application Number     | 10780415         |
| Filing Date            | 2004-02-17       |
| First Named Inventor   | SUN, Chong-Qing  |
| Art Unit               | 1626             |
| Examiner Name          | SHIAO, Rei Tsang |
| Attorney Docket Number | 1073.128C        |

|   |           |    |  |            |  |                          |
|---|-----------|----|--|------------|--|--------------------------|
| 3 | WO0059874 | WO |  | 2000-10-12 | Du Pont Pharmaceuticals Co.                | <input type="checkbox"/> |
| 4 | WO0154498 | WO |  | 2001-08-01 | Akkadix Corporation                        | <input type="checkbox"/> |
| 5 | WO0170684 | WO |  | 2001-09-27 | Sepracor, Inc.                             | <input type="checkbox"/> |
| 6 | WO0172705 | WO |  | 2001-10-04 | Applied Research Systems ARS Holding N. V. | <input type="checkbox"/> |
| 7 | WO0218335 | WO |  | 2002-07-03 | Yamanouchi Pharmaceutical                  | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button [Add](#)

**NON-PATENT LITERATURE DOCUMENTS**

[Remove](#)

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>5</sup>           |
|--------------------|---------|---|--------------------------|
|                    | 1       | Banker et al., "Modern Pharmaceuticals, 3rd ed.", Marcel Dekker, New York, 1996, pages 451 and 596.   | <input type="checkbox"/> |
|                    | 2       | Boeijen et al., Bioorg. Med. Chem. Lett. 8:2375-2380.   | <input type="checkbox"/> |
|                    | 3       | Fernand Labrie, Int'l Braz. J. Urol. 30(1):3-11.  | <input type="checkbox"/> |
|                    | 4       | Hempstock et al., Brit. J. Urology 82:560-563.  | <input type="checkbox"/> |

|   |                        |                  |            |
|---|------------------------|------------------|------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |                  | 10780415   |
|   | Filing Date            |                  | 2004-02-17 |
|   | First Named Inventor   | SUN, Chong-Qing  |            |
|   | Art Unit               | 1626             |            |
|   | Examiner Name          | SHIAO, Rei Tsang |            |
|   | Attorney Docket Number | 1073.128C        |            |

|    |  |                          |
|----|--|--------------------------|
| 5  | Iseki, K. et al., Tet. 53(10) 3513-26.   | <input type="checkbox"/> |
| 6  | Lalezari et al., J. Het Chem 20(2) 483-485 (1983).   | <input type="checkbox"/> |
| 7  | Matsuki et al., Chem. Pharm. Bull. 42(1): 9-18.  | <input type="checkbox"/> |
| 8  | Montes de Oca et al., Arkivoc, 390-403 (2003).   | <input type="checkbox"/> |
| 9  | Taplin et al., J. Cell Biochem. 91 (3):483-490.  | <input type="checkbox"/> |
| 10 | Uozumi, Tet Lett 42:407-410.   | <input type="checkbox"/> |
| 11 | Wolft "Burger's Medicinal Chemistry, 5th ed. Part 1", John Wiley & Sons 1995, pp. 975-977. | <input type="checkbox"/> |

If you wish to add additional non-patent literature document citation information please click the Add button

#### EXAMINER SIGNATURE

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.